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4	Wrong person,	place and time: viral lo	ad and contact network s	tructure predict
5	SA	ARS-CoV-2 transmission	n and super-spreading evo	ents
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**One Sentence Summary:** We developed a coupled within-host and between-host mathematical model to identify viral shedding levels required for transmission of SARS-CoV-2 and influenza, and to explain why super-spreading events occur more commonly during SARS-CoV-2 infection.

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9

### 10 Abstract

11 SARS-CoV-2 is difficult to contain because most transmissions occur during the pre-

symptomatic phase of infection. Moreover, in contrast to influenza, while most SARS-CoV-2 12 13 infected people do not transmit the virus to anybody, a small percentage secondarily infect large 14 numbers of people. We designed mathematical models of SARS-CoV-2 and influenza which link 15 observed viral shedding patterns with key epidemiologic features of each virus, including 16 distributions of the number of secondary cases attributed to each infected person (individual  $R_0$ ) 17 and the duration between symptom onset in the transmitter and secondarily infected person 18 (serial interval). We identify that people with SARS-CoV-2 or influenza infections are usually contagious for fewer than two days congruent with peak viral load several days after infection, 19 20 and that transmission is unlikely below a certain viral load. SARS-CoV-2 super-spreader events 21 with over 10 secondary infections occur when an infected person is briefly shedding at a very 22 high viral load and has a high concurrent number of exposed contacts. The higher predisposition 23 of SARS-CoV-2 towards super-spreading events is not due to its 1-2 additional weeks of viral 24 shedding relative to influenza. Rather, a person infected with SARS-CoV-2 exposes more people 25 within equivalent physical contact networks than a person infected with influenza, likely due to 26 aerosolization of virus. Our results support policies that limit crowd size in indoor spaces and 27 provide viral load benchmarks for infection control and therapeutic interventions intended to 28 prevent secondary transmission.

# 29 Introduction

30

31	The SARS-CoV-2 pandemic is an ongoing tragedy that has caused 700,000 deaths and
32	massively disrupted the global economy. The pandemic is rapidly expanding in the United States
33	and is re-emerging focally in many countries that had previous success in limiting its spread. <sup>1</sup>
34	Two features have proven challenging in containing outbreaks. First, most transmissions
35	occur during the pre-symptomatic phase of infection. <sup>2</sup> Underlying this observation is a highly
36	variable incubation period, defined as time between infection and symptom onset, which often
37	extends beyond an infected person's peak viral shedding. <sup>3</sup>
38	Second, there is substantial over-dispersion of the basic reproduction number (R0) for an
39	individual infected with SARS-CoV-2, <sup>4</sup> meaning that most infected people do not transmit at all,
40	while a minority may transmit to dozens of people, with the average, population R0 achieving a
41	high enough level (>1) to allow exponential growth of cases in the absence of an effective
42	intervention. <sup>5</sup> Approximately 10-20% of infected people account for 80% of SARS-CoV-2
43	transmissions. <sup>4,6</sup> Super-spreader events, in which the duration of contact between a single
44	transmitter and large number of secondarily infected people is often limited to hours, are well
45	documented. <sup>7,8</sup> This pattern is not evident for influenza which has more homogeneous individual
46	transmissions numbers. <sup>9,10</sup> Differing shedding kinetics between the two viruses might explain
47	this distinction; SARS-CoV-2 is often present intermittently in the upper airways for many
48	weeks, <sup>11,12</sup> while influenza is rarely shed for more than a week. <sup>13</sup> Alternatively, SARS-CoV-2
49	aerosolization may predispose to wider exposure networks given the presence of an infected
50	person in a crowded indoor space.

51	Viral load is recognized as a strong determinant of transmission risk. For influenza, the
52	dose of viral exposure is related to the probability of infection in human challenge studies, <sup>14</sup> and
53	early treatment reduces household transmission. <sup>15,16</sup> Household shedding of human herpesvirus-6
54	is closely linked to subsequent infection in newborns, <sup>17</sup> and infants shedding high levels of
55	cytomegalovirus in the oropharynx predictably transmit the virus back to their mothers. <sup>18</sup>
56	The epidemiology of viral infections can also be perturbed by biomedical interventions
57	that lower viral load at mucosal transmission surfaces. Reduction of genital herpes simplex virus-
58	2 shedding with antiviral treatments decreases probability of transmission. <sup>19</sup> Suppressive
59	antiretroviral therapy (ART) for HIV virtually eliminates the possibility of partner-to-partner
60	sexual transmission and has limited community transmission dramatically. <sup>20,21</sup>
61	These concepts are relevant for SARS-CoV-2 infection and require urgent attention as the
62	pandemic continues to wreak havoc. Early therapies that lower peak viral load may reduce the
63	severity of COVID-19 but may also decrease the probability of transmission and of super-
64	spreader events. <sup>22</sup> Similarly, the effectiveness of policies such as limiting mass gatherings, and
65	enforcing mask use can be directly evaluated by their ability to reduce exposure viral load and
66	transmission risk. <sup>23</sup> Here we developed a transmission simulation framework to capture the
67	contribution of viral load to observed epidemiologic transmission metrics for influenza and
68	SARS-CoV-2 and used this approach to explain why SARS-CoV-2 is predisposed to super-
69	spreading events.

#### Results 70

71

**Overall approach.** We designed a series of steps to estimate the viral load required for SARS-72 73 CoV-2 and influenza transmission, as well as conditions required to explain the observed over-74 dispersion of secondary infections (individual R0) and frequent super-spreader events associated 75 with SARS-CoV-2 but not influenza. This process included within-host modeling of viral loads, 76 simulations of exposures and possible transmissions based on various transmission dose response 77 curves, testing of various parameter sets against epidemiologic data and exploratory analyses 78 with the best fitting model (Fig S1). 79 80 Within-host mathematical model of SARS CoV-2 shedding. First, we used our previously developed within-host mathematical model (equations in the **Methods**),<sup>24</sup> to generate plausible 81 82 viral load patterns in the upper airway of an infected person or *transmitter* who could potentially transmit the virus to others (Fig 1, Fig S2a). Briefly, the model captures observed upper airway 83 viral kinetics from 25 people from four different countries.<sup>25-28</sup> Key observed features include an 84 85 early viral peak followed by a decelerating viral clearance phase, which in turn leads to a 86 temporary plateau at a lower viral load, ultimately followed by rapid viral elimination. Our 87 model captures these patterns by including a density dependent term for early infected cell 88 elimination and a nonspecific acquired immune term for late infected cell elimination. 89 One limitation of our model is that only half of study participants provided longitudinal 90 viral load data from the very early days of infection when COVID-19 is often asymptomatic. 91 Therefore, the model's output is most reliable for later time points. In particular, we have 92 somewhat limited information on viral expansion rate and duration of peak shedding. To impute

93 possible variability, we generated a set of heterogeneous shedding curves in which the viral 94 upslope, the downslope of viral load after peak and the viral load during plateau phase were 95 varied (**Fig S2b**). Overall, the model generated several distinct patterns of infection: rapid 96 elimination after the initial peak, a prolonged plateau phase with a low viral load, and a 97 prolonged plateau phase with higher viral load. We simulated the transmission model with and 98 without imputed heterogeneity.

99

100 Transmission dose response curves. We defined an exposure event in very specific biologic 101 terms as a discrete event consisting of sufficient contact in time and space between a transmitter 102 and one or more uninfected persons (exposure contacts) to allow for the possibility of a 103 successful transmission. We next designed hundreds of dose response curves which separately 104 predict contagiousness (CD curves) and infectiousness (ID curves) at a certain viral dose given 105 an exposure contact. *Contagiousness* is defined as the viral load dependent probability of passage 106 of virus-laden droplets or airborne particles from the airways of a potential transmitter to the 107 airway of an exposure contact. Infectiousness is defined as the viral load dependent probability 108 of transmission given direct airway exposure to virus in an exposure contact. Transmission risk 109 is the product of these two mechanistic probabilities derived from the ID and CD curves and 110 results is a transmission dose (TD) response curve. Each CD or ID curve is defined by its ID50 111  $(\lambda)$  or viral load at which contagion or infection probability is 50% (Fig S2c), as well as its slope ( $\alpha$ ) (Fig S2d).<sup>29</sup> The TD50 is defined as viral load at which there is 50% transmission 112 113 probability. We assumed equivalent curves for contagiousness and infectiousness for model fitting purposes. We also considered a simpler model with only a single TD curve (for 114 infectiousness) and obtained qualitatively similar results (Supplement and Methods). Our 115

116 model includes the possibility that increasing viral load is not a key determinant of transmission 117 when  $\alpha$ =0.01 (Fig 2d).

118

119 *Exposure contact rate simulations.* We introduced heterogeneity of exposure contact rates 120 among possible transmitters by randomly selecting from a gamma distribution defined by mean 121 number of exposure contacts per day ( $\theta$ ) and a scaling factor ( $\rho$ ) that controls daily variability 122 (Fig S3).

123

**Transmission simulations.** For each defined exposure contact, viral load in the transmitter was sampled and transmission risk was then identified based on the product of the CD and ID curves, or the TD curve (**Fig S2e, f; Fig 1**). Based on these probabilities, we stochastically modeled whether a transmission occurred for each exposure contact. This process was repeated when there were multiple possible exposure events within a given discretized time interval and the total number of exposures and transmissions within that interval was calculated.

For each successful transmission, we assumed that it takes  $\tau$  days for the first infected cell to produce virus. To inform simulated values of *serial interval* (SI or time between symptom onset in the secondarily infected and transmitter), we randomly selected the *incubation period* (IP), for both the transmitter and the newly infected person, from a gamma distribution based on existing data (**Fig S4a**).<sup>3,30</sup> Incubation period was defined as time from infection to the time of the onset of symptoms, where the mean incubation for SARS-CoV-2 is 5.2 days compared to 2 days for influenza.<sup>3,9,30</sup>

137

*Model fitting.* In order to identify the parameter set that best recapitulated the observed data, we 138 139 then simulated several hundred thousands of parameter sets with ~250 possible TD curves 140 defined by ID50 and CD50 ( $\lambda$ ) and slope ( $\alpha$ ), along with ~180 combinations of the mean 141 exposed contact rate per day ( $\theta$ ) and associated variance parameter ( $\rho$ ), and values of  $\tau \in$ 142 [0.5, 1, 2, 3] days. We aimed to identify the parameter set that best recapitulated the following 143 features of the observed epidemiologic and individual-level data for SARS-CoV-2: mean R0 across individuals (R0  $\in$  [1.4, 2.5]),<sup>3,4,6,31,32</sup> mean serial interval across individuals (SI  $\in$ 144 [4.0, 4.5]),<sup>3,31,33</sup> cumulative distribution functions of individual R0,<sup>4,6,34-36</sup> and cumulative 145 distribution functions of serial intervals derived from SARS-CoV-2 transmission pair studies that 146 were conducted early during the pandemic,<sup>31</sup> prior to any confounding influence of social 147 distancing measures. Here, we define *individual R0* as the total number of secondary 148 149 transmissions from the transmitter in a fully susceptible population (**Methods**). We further checked the closeness of the solved ID curve with the observed relationship between viral RNA 150 and infectious virus levels from a longitudinal cohort of infected people.<sup>37</sup> 151 152 153 *Influenza modeling.* Next, we performed equivalent analyses for influenza to explain the lower

frequency of observed super-spreader events with this infection. Influenza viral kinetics were modelled using a previously data-validated model.<sup>38</sup> Incubation periods for influenza are lower and less variable than for SARS-CoV-2 and were randomly selected for each simulation of the model using a gamma distribution (**Fig S4b**).<sup>39</sup> We again fit the model to: mean R0 across individuals (R0  $\in$  [1.1, 1.5]),<sup>40-42</sup> mean serial interval (SI  $\in$  [2.9, 4.3]),<sup>9</sup> cumulative distribution functions of individual R0 corresponding to the 2008-2009 influenza A H1N1 pandemic with

mean R0=1.26 and dispersion parameter=2.36 in the negative binomial distribution, and
 cumulative distribution functions of serial intervals.<sup>9,10,40</sup>

162

163 Model-predicted individual R0 and serial intervals for SARS-CoV-2 infection. A single model parameter set ( $[\alpha, \lambda, \tau, \theta, \rho] = [0.8, 10^7, 0.5, 4, 40]$ ) most closely reproduces empirically 164 165 observed individual R0 and serial interval histograms (Fig 2a, c) and cumulative distribution 166 functions (Fig 2b, d). Despite assuming that each infected person sheds at a high viral load for a 167 period of time (Fig 1, Fig S2b), the model captures the fact that ~75% of 10,000 simulated 168 transmitters do not infect any other people and that each increase in the number of possible 169 transmissions is associated with a decreasing probability (Fig. 2a). 170 SARS-CoV-2 viral load was recently measured with viral RNA levels and mapped to concurrent level of infectious virus by dividing by approximately 25.<sup>37</sup> We divided observed 171 viral RNA levels at each exposure contact by 25, and noted that the modeled ID curve closely 172 173 recapitulates predicted quantitative viral culture level (Fig S5). 174 The model also generates super-spreader events with 10,000 simulated transmissions (Fig. 2b). If super-spreaders are defined as those who produce at least 5 secondary infections, we 175 176 estimate that  $\sim 10\%$  of all infected people and  $\sim 35\%$  of all transmitters are super-spreaders. If 177 super-spreaders are defined as those who produce at least 10 secondary infections, we estimate 178 that  $\sim 6\%$  of all infected people and  $\sim 25\%$  of all transmitters are super-spreaders. If super-179 spreaders are defined as those who produce at least 20 secondary infections, we estimate that 180  $\sim 2.5\%$  of all infected people and  $\sim 10\%$  of all transmitters are super-spreaders. If super-spreaders 181 are defined as those producing  $\geq 5$ ,  $\geq 10$ , or  $\geq 20$  secondary infections, the contribution to all 182 secondary infections is estimated at ~85%, ~70%, or ~44%, respectively (**Table 1**).

183 The model also recapitulates the high variance of the serial interval observed within 184 SARS-CoV-2 transmission pairs, including negative values observed in the data (Fig 2c, d). We 185 next project generation time, defined as the period between when an individual becomes infected 186 and when they transmit the virus, for all transmission pairs and identify that the mean serial 187 interval (4.4 days) provides an accurate approximation of mean generation time. However, the variance of generation time is considerably lower and by definition does not include negative 188 189 values. A majority of generation times fell between 4 and 7 days, compared to -5 to 12 days for 190 the serial interval (Fig 2e).

191

192 Viral load thresholds for SARS-CoV-2 transmission. The optimized ID curve has an ID50 of  $10^7$  viral RNA copies and a moderately steep slope (Fig 3a). The TD50 for SARS-CoV-2 is 193 slightly higher at  $10^{7.5}$  viral RNA copies (Fig 3a). To assess the impact of these parameters on 194 195 transmission, we performed simulations with 10,000 transmitters and concluded that 196 transmission is very unlikely (~0.00005%) given an exposure to an infected person with an upper airway viral load of  $<10^4$  SARS-CoV-2 RNA copies, and unlikely (~0.002%) given an exposure 197 to an infected person with a viral load of  $<10^5$  SARS-CoV-2 RNA copies. On the other hand, 198 199 transmission is much more likely (39%) given an exposure to an infected person who is shedding  $>10^7$  SARS-CoV-2 RNA copies, and 75% given an exposure to an infected person with a viral 200 load of  $>10^8$  SARS-CoV-2 RNA copies. We obtain similar results (not shown) when we solve 201 202 our model using the assumption of homogeneous viral load trajectories as in Fig S2a. 203

204 *Narrow duration of high infectivity during SARS-CoV-2 infection.* We next plotted the

205 probability of infection given an exposure to a transmitter. Under multiple shedding scenarios,

the window of high probability transmission is limited to time points around peak viral load, and
some heterogeneity in regard to peak infectivity is noted between people (Fig 3b-d). In general,
infected persons are likely to be most infectious (i.e., above TD50) for a ~0.5-1.0-day period
between days 2 and 6 after infection. We therefore conclude that the observed wide variance in
serial interval (Fig 2c) results primarily from the possibility of highly discrepant incubation
periods between the transmitter and infected person, rather than wide variability in shedding
patterns across transmitters.

213

214 *Requirements for SARS CoV-2 super-spreader events.* The solved value for exposed contact 215 network heterogeneity ( $\rho$ ) is 40 indicating high variability in day-to-day exposure contact rates 216 (Fig S3d) with a high average number of exposed contacts per day ( $\theta$ =4). We generated a heat 217 map from our TD curve to identify conditions required for super-spreader events which included viral load exceeding  $10^7$  SARS CoV-2 RNA copies and a high number of daily exposure 218 contacts per day. We observe an inflection point between  $10^6$  and  $10^7$  SARS CoV-2 RNA copies 219 220 where large increases in the number of daily exposure contacts have a more limited impact on 221 increasing the number of transmissions from a single person (Fig 4a). The exposure contact 222 network occasionally results in days with  $\geq 150$  exposure contacts per day, which may allow an 223 extremely high number of secondary infections from a single person (Fig 4a). 224 We next plotted transmission events simulated on a daily basis over 30 days since 225 infection from 10,000 transmitters according to viral load at exposure and number of exposure 226 contacts on that day (Fig 4b). Secondary transmissions to only 1-3 people occurred almost 227 exclusively with daily numbers of exposure contacts below 10 with any exposure viral load

exceeding  $10^6$  RNA copies or with higher numbers of exposure contacts per day and viral loads

exceeding  $10^5$  RNA copies. Massive super-spreader events with over 50 infected people almost always occurred at viral loads exceeding  $10^7$  RNA copies / day with high levels of concurrent exposure contacts (**Fig 4b**).

We next identified that over 50% of secondary infections were associated with a transmitter who has a high number of exposed contacts (11-100 per day) and a viral load exceeding 10<sup>6</sup> RNA copies (**Fig 4c**), which is the mechanistic underpinning of why ~70% of all secondary infections arose from transmitters who produced more than 10 secondary infections (**Table 1**).

237

238 Model predicted individual R0 and serial intervals for influenza infection. A single model 239 parameter set most closely reproduced empirically observed histograms and cumulative distribution functions for individual R0 and serial intervals for influenza:  $(\alpha, \lambda, \tau, \theta, \rho) = (0.7, 0.7)$ 240 10<sup>5.5</sup>, 0-0.5, 4, 1). ID50 values for influenza are lower than SARS CoV-2, but a direct 241 242 comparison cannot be made because tissue culture infectious dose (TCID) has been more 243 commonly used for measurements of influenza viral load, whereas viral RNA is used for SARS-244 CoV-2. Nevertheless, TCID is a closer measure of infectious virus and it is thus reasonable that 245 ID50 based on TCID for influenza would be ~30-fold lower than ID50 based on total viral RNA (infectious and non-infectious virus) for SARS-CoV-2.<sup>37</sup> 246 247 The other notable difference is a considerably lower  $\rho$  value for influenza (Fig S3b), 248 denoting much less heterogeneity in the number of exposure contacts per person while the 249 average daily exposure contact was the same for both viruses (4 per day). The model captures the 250 fact that 40% of influenza infected people do not transmit to anyone else and that each increase

in the number of individual transmissions is associated with a lower probability (**Fig. 5a**).

252	Relative to SARS-CoV-2, super-spreader events involving 5 or more people are predicted to be
253	5-fold less common overall and 10-fold less common among transmitters (~2% of all infected
254	people and ~3% of transmitters) (Fig. 5b, Table 1). Super-spreaders defined as those infecting
255	$\geq$ 5 individuals contribute to only ~10% to all transmissions ( <b>Table 1</b> ).
256	The model also recapitulates the lower variance of serial interval for influenza relative to
257	SARS-CoV-2 (Fig 5c, d). We next identified that the mean and variance of the serial interval
258	provide good approximations of the mean and variance for generation time. A majority of
259	generation times fell between 2 and 6 days (Fig 5e).
260	
261	Viral load thresholds for influenza transmission. Based on the optimized TD curve for
261 262	<i>Viral load thresholds for influenza transmission.</i> Based on the optimized TD curve for influenza ( <b>Fig 6a</b> ), we next plotted the probability of infection given an exposure to an infected
261 262 263	<i>Viral load thresholds for influenza transmission.</i> Based on the optimized TD curve for influenza ( <b>Fig 6a</b> ), we next plotted the probability of infection given an exposure to an infected person. The TD50 for influenza is 10 <sup>6.1</sup> TCID/mL. Under various shedding scenarios, the
261 262 263 264	<ul> <li>Viral load thresholds for influenza transmission. Based on the optimized TD curve for</li> <li>influenza (Fig 6a), we next plotted the probability of infection given an exposure to an infected</li> <li>person. The TD50 for influenza is 10<sup>6.1</sup> TCID/mL. Under various shedding scenarios, the</li> <li>window of high probability transmission is limited to time points around peak viral load (Fig 6b-</li> </ul>
261 262 263 264 265	<ul> <li>Viral load thresholds for influenza transmission. Based on the optimized TD curve for</li> <li>influenza (Fig 6a), we next plotted the probability of infection given an exposure to an infected</li> <li>person. The TD50 for influenza is 10<sup>6.1</sup> TCID/mL. Under various shedding scenarios, the</li> <li>window of high probability transmission is limited to time points around peak viral load (Fig 6b-</li> <li>d). In general, infected persons are likely to be most infectious (i.e., above TD50) for a ~0.5-1.0</li> </ul>
261 262 263 264 265 266	<ul> <li>Viral load thresholds for influenza transmission. Based on the optimized TD curve for</li> <li>influenza (Fig 6a), we next plotted the probability of infection given an exposure to an infected</li> <li>person. The TD50 for influenza is 10<sup>6.1</sup> TCID/mL. Under various shedding scenarios, the</li> <li>window of high probability transmission is limited to time points around peak viral load (Fig 6b-</li> <li>d). In general, infected persons are likely to be most infectious (i.e., above TD50) for a ~0.5-1.0</li> <li>day period The observed narrow variance in serial interval (Fig 5c) results primarily from the</li> </ul>
261 262 263 264 265 266 267	<ul> <li>Viral load thresholds for influenza transmission. Based on the optimized TD curve for</li> <li>influenza (Fig 6a), we next plotted the probability of infection given an exposure to an infected</li> <li>person. The TD50 for influenza is 10<sup>6.1</sup> TCID/mL. Under various shedding scenarios, the</li> <li>window of high probability transmission is limited to time points around peak viral load (Fig 6b-</li> <li>d). In general, infected persons are likely to be most infectious (i.e., above TD50) for a ~0.5-1.0</li> <li>day period The observed narrow variance in serial interval (Fig 5c) results primarily from the</li> <li>narrow range of incubation periods within the transmitter and secondarily infected person, as</li> </ul>
261 262 263 264 265 266 267 268	<ul> <li>Viral load thresholds for influenza transmission. Based on the optimized TD curve for</li> <li>influenza (Fig 6a), we next plotted the probability of infection given an exposure to an infected</li> <li>person. The TD50 for influenza is 10<sup>6.1</sup> TCID/mL. Under various shedding scenarios, the</li> <li>window of high probability transmission is limited to time points around peak viral load (Fig 6b-</li> <li>d). In general, infected persons are likely to be most infectious (i.e., above TD50) for a ~0.5-1.0</li> <li>day period The observed narrow variance in serial interval (Fig 5c) results primarily from the</li> <li>narrow range of incubation periods within the transmitter and secondarily infected person, as</li> <li>well as the limited variability in shedding patterns across transmitters.</li> </ul>

Determinants of influenza individual R0. We generated a heat map from our TD curve to
identify conditions governing influenza transmission to multiple people including viral load
exceeding 10<sup>6</sup> influenza TCID and a high number of exposure contacts per day. The contact
network never results in days with more than 15 exposure contacts per day, which severely limits

the possible number of transmissions from a single person relative to SARS-CoV-2 (Fig 7a,
S3b).

282	7b).
281	always occurred at viral loads exceeding $10^5$ TCID with 5-10 concurrent exposure contacts (Fig
280	loads exceeding 10 <sup>4</sup> TCID. Small scale super-spreader events with 5-10 infected people almost
279	infections (Table 1) and occurred with fewer than 10 daily exposure contacts and exposure viral
278	that day (Fig 7b). Secondary transmissions to fewer than 5 people accounted for 90% of
277	from 10,000 transmitters according to viral load at exposure and number of exposure contacts on
276	We plotted transmission events simulated on a daily basis over 30 days since infection

We next identified that over 50% of infections were associated with a transmitter who had fewer than 10 exposure contacts per day and a viral load exceeding 10<sup>4.5</sup> TCID (**Fig 7c**), which is why no infected person ever transmitted to more than 10 other people (**Table 1**).

286

287 Differing exposed contact distributions, rather than viral kinetics, explain SARS CoV-2 super-288 spreader events. We sought to explain why SARS-CoV-2 has a more over-dispersed distribution 289 of individual R0 relative to influenza. To assess viral kinetics as a potential factor, we 290 comparatively plotted transmission risk per exposure contact as a function of time since infection 291 in 10,000 transmitters for each virus. The median per contact transmission risk is slightly higher 292 for influenza; however, 75% and 95% transmission risks are marginally higher for SARS-CoV-2 293 compared to influenza with slightly higher peak transmission risk, and a longer tail of low 294 transmission risk beyond 7 days (Fig 8a). The transmission risk was considerably higher for the 295 25% of simulated SARS-CoV-2 infections with the highest viral loads, suggesting that a 296 substantial subset of infected people may be more pre-disposed to super-spreading. When plotted

as time since onset of symptoms the variability in transmission potential is considerably larger
for persons with high SARS-CoV-2 viral load, owing to the variable incubation period of this
virus (Fig 8b).

300 The median duration of shedding over infectivity thresholds was short and nearly 301 equivalent for both viruses. For SARS-CoV-2 and influenza, median [range] time above ID10 was 2.7 [0, 7] and 2.4 [1.6, 3.7] days respectively; median time above ID25 was 1.7 [0, 3] and 302 303 1.5 [0, 2.2] days respectively; median time above ID50 was 0.8 [0, 1.3] and 0 [0, 1.3] days 304 respectively; median time above ID75 was 0 [0, 0.4] and 0 [0, 0] days respectively; median time 305 above ID90 was 0 [0, 0] and 0 [0, 0] days respectively. ID10, ID25 and ID50 values are more 306 variable across SARS-CoV-2 simulations due to a minority of trajectories with prolonged 307 moderate viral loads.

For SARS-CoV-2 and influenza, median [range] time above TD10 was 1.4 [0, 2.5] and 1.2 [0, 2.0] days respectively; median time above TD25 was 0.8 [0, 1.3] and 0.3 [0, 1.3] days respectively; median time above TD50 was 0 [0, 0.5] and 0 [0, 0.4] days respectively; median time above TD75 was 0 [0, 0] and 0 [0, 0] days respectively. TD10, TD25 and TD50 values are more variable across SARS-CoV-2 simulations due to a minority of trajectories with prolonged moderate viral loads (**Fig 8c**).

We next plotted the frequency of exposure contacts per day for both viruses and noted a higher frequency of days with no exposed contacts (**Fig 8d**), but also a higher frequency of days with more than 10 exposure contacts (**Fig 8e**) for SARS-CoV-2 relative to influenza, despite an equivalent mean number of daily exposure contacts. To confirm that this distribution drives the different observed distributions of individual R0 values (**Fig 8f**), we simulated SARS-CoV-2 infection with an assumed  $\rho$ =1 and generated a distribution of individual R0 similar to that of

influenza (**Fig S6a**). Similarly, we simulated influenza infection with an assumed  $\rho$ =40 and generated a distribution of individual R0 similar to that of SARS-CoV-2 (**Fig S6b**). Under all scenarios, predicted distributions of serial interval (**Fig 8g, Fig S6**) and generation time (**Fig 8h, Fig S6**) were unchanged by shifts in the exposed contact network.

324

325 **Projections of targeted physical distancing.** Physical distancing is a strategy to decrease R0. We 326 simulated a decrease in the contact rate uniformly across the population and noted a decrease in 327 population R0 (Fig S7a) as well the percent of infected people who will transmit (Fig 7b) and 328 become super-spreaders (Fig S7c-d). An approximately 40% decrease in the average exposed 329 contact rate decreased R0 below 1 (Fig S6a). We further investigated whether lowering contact 330 rate among larger groups only, in particular by banning exposure events with a high number of 331 exposure contacts, could control the epidemic. We identify that limiting exposure contacts to no 332 more than 5 per day is nearly equivalent to limiting exposure contacts altogether and that only a 333 small decrease in mean exposure contact rate can achieve R0<1 if exposure events with less than 334 20 contacts are eliminated (Fig S8).

335

336 *Pre-symptomatic transmission and super-spreading risk.* Much of the highest transmission risk
337 for SARS-CoV-2 exists in the pre-symptomatic phase (Fig8b) which explains why 62% of
338 simulated transmissions occurred in the pre-symptomatic phase for SARS-CoV-2, compared to
339 10% for influenza. Similarly, 62% and 21% of SARS-CoV-2 and influenza super-spreader
340 events with secondary transmissions ≥5 and 39% of SARS-CoV-2 super-spreader events with
341 secondary transmissions R0≥10 fell in the pre-symptomatic period.

342

# 343 Discussion

344	Our results demonstrate that SARS-CoV-2 shedding kinetics are directly linked to the
345	virus' most fundamental epidemiologic properties. First, we identify a transmission dose
346	response curve which specifies that a nasal viral load below $10^5$ RNA copies is unlikely to
347	commonly result in transmission. For SARS-CoV-2, this threshold is consistent with the overall
348	rarity of positive cultures at these levels. <sup>37</sup> We also predict a relatively steep TD curve such that
349	transmission becomes much more likely when shedding exceeds $10^8$ viral RNA copies and there
350	is an exposure contact between an infected person and susceptible person. The amount of viral
351	RNA can be roughly converted to an estimate of viral quantity by culture which approximates
352	infectiousness. Our results therefore have relevance for dosing of SARS-CoV-2 in human
353	challenge experiments that are being considered for vaccine trials.
354	While the duration of shedding for SARS-CoV-2 is often three weeks or longer, <sup>11,12</sup> we
355	predict that the duration of shedding above thresholds required for a moderate probability of
356	transmission per contact is much shorter, often less than half a day, and is comparable to that of
357	influenza. While transmission after the first week of infection is quite rare, our model is
358	consistent with the observation that transmissions commonly occur during the pre-symptomatic
359	phase of infection, <sup>2</sup> given the highly variable incubation period associated with SARS-CoV-2.
360	The observed high heterogeneity in serial interval is attributable almost entirely to the
361	variable nature of the incubation period, rather than transmission occurring extremely late after
362	infection. While our estimate for mean generation time is equivalent to that of mean serial
363	interval, it is notable that the range of SARS-CoV-2 serial intervals is much wider than the range
364	of generation times. This result is evident even though we built substantial heterogeneity into our
365	viral shedding curves beyond that observed in the somewhat limited existing shedding data.

366 The finding of limited duration of SARS-CoV-2 infectivity has practical implications. 367 First, considerable resources are being used in hospitals and skilled nursing facilities to isolate 368 patients with persistent SARS-CoV-2 shedding. We propose that a low nasal viral load, 369 particularly during late infection, need not justify full patient isolation procedures in the absence 370 of aerosolizing procedures. This observation could save substantial hospital resources and 371 valuable isolation beds during subsequent waves of infection. Similar considerations are relevant 372 for employees wishing to return to work. Our results also suggest that time since first positive 373 test may be predictive of lack of contagion, though more viral load kinetic studies will be needed 374 to confirm the existing observation that viral loads after a week of infection are usually low and associated with negative viral cultures.<sup>37</sup> Finally, our conclusions are supportive of rapid, less 375 sensitive assays which are more likely to detect infection at periods of contagion.<sup>43</sup> 376 377 Many of these conclusions, including specific viral load thresholds for transmission, a 378 steep dose response curve and a maximum 2-day duration of contagion within an infected 379 individual are equally relevant for influenza infection. One important difference is that 380 incubation periods for influenza are far less variable which means that at the individual level, the 381 serial interval is much more likely to be predictive of the generation time. 382 Another finding is that SARS-CoV-2 super-spreading events are dependent on a large 383 number of exposure contacts during the relatively narrow 1-2 days window during which a  $\sim 25\%$ 384 subset of infected people is shedding at extremely high levels above the TD50. Because we 385 predict that super-spreader potential may be somewhat of a generalized property of infection, 386 rather than a characteristic of a tiny subset of infected people, this result also has practical 387 implications. A common experience during the pandemic has been early identification of a 388 cluster of infected people within a specific confined environment such as a senior living home,

389 crowded work environment, athletic team, or restaurant. Our results demonstrate that newly 390 diagnosed people within small clusters may be past the peak of their super-spreading potential. 391 At this stage, many more infections have often been established and drastic quarantine 392 procedures should be considered. Other undiagnosed, pre-symptomatic infected people may have 393 super-spreader potential while the known infected person is no longer contagious, highlighting 394 the importance of effective contact tracing. 395 At the prevention level, school opening and work opening strategies should focus on 396 severely limiting the possible number of exposure contacts per day. Where large numbers of 397 exposure contacts are unavoidable, mandatory masking policies, perhaps with N95 masks that may more significantly lower exposure viral loads should be considered.<sup>23</sup> 398

Influenza infection is much less predisposed to super-spreader events than SARS-CoV-2.
Yet, influenza shedding at levels above those required for a high probability of transmission
occurs with only slightly lower frequency. Therefore, the markedly different probability of
super-spreader events between the two viruses is unlikely to relate to different viral host kinetics,
despite the fact that the overall duration of SARS-CoV-2 shedding exceeds duration of influenza
shedding often by more than two weeks.

Rather, our analysis suggests that the exposure contact networks of SARS-CoV-2
transmitters are highly variable relative to those of influenza. One possible explanation
underlying this finding is that SARS-CoV-2 is more predisposed to airborne transmission than
influenza.<sup>44</sup> Here our precise definition of an exposure contact (sufficient contact between a
transmitter and an uninfected person to potentially allow transmission) is of high relevance. Our
result suggests that a SARS-CoV-2 infected person in a crowded, poorly ventilated room, may
generate more exposure contacts than an influenza infected person in the same room, likely

based on wider dispersal and / or longer airborne survival of the virus. Thus, our results suggest a
possible downstream quantitative effect of airborne transmission on SARS-CoV-2 epidemiology.
Another possibly important variable is that pre-symptomatic transmission, which is a common
feature of SARS-CoV-2 may predispose to multiple transmissions. This prediction reinforces
current public health recommendation to avoid crowded indoor spaces with poor air

417 recirculation.

418 On the other hand, a much higher proportion of SARS-CoV-2 infected people than 419 influenza infected people do not transmit at all. This result lacks a clear mechanistic explanation 420 but may imply that aerosolization occurs only in a subset of infected people. One theoretical explanation is that high viral load shedding in the pre-symptomatic phase is defined by lack of 421 422 cough or sneeze leading to limited spatial diffusion of virus. Alternatively, it is also possible that 423 a proportion of infected people never shed virus at high enough viral loads to allow efficient 424 transmission. This possibility speaks to the need for more quantitative viral load data gathered 425 during the initial stages of infection.

Age cohort structure differs between the two infections, with a lower proportion of observed pediatric infections for SARS-CoV-2. If adults have more high exposure events than children, then this could also explain super-spreader events. We are less enthusiastic about this hypothesis. First, SARS-CoV-2 super-spreader events have occurred in schools and camps and would likely be more common in the absence of widespread global school closures in high prevalence regions. Second, a sufficient proportion of influenza cases occur in adults to rule out the presence of frequent large super-spreading events in this population.

433 Our analysis has important limitations. First, exposure contacts were assumed to be
434 homogeneous and we do not capture the volume of the exposing aerosol or droplet. For instance,

435 if a large-volume droplet contains ten times more viral particles than an aerosol droplet, then the 436 exposure could be dictated by this volume as well as the viral load of the potential transmitter. It 437 is possible that under rare circumstances with extremely high-volume exposures, even persons 438 with extremely low viral loads may transmit. Second, based on the quality of available data, we 439 fit our models for SARS-CoV-2 and influenza to viral RNA and viral culture respectively. 440 Existing data suggest that kinetics of viral RNA and culture are similar during both infections, with culture having lower sensitivity to detect virus.<sup>37</sup> Third, our intra-host model of SARS-441 CoV-2 was fit to heterogeneous data with different sampling techniques and PCR assays.<sup>24</sup> 442 443 Moreover, R0 estimates have varied across the globe. Our estimates of TD50 are necessarily 444 imprecise based on available data and should serve only as a conservative benchmark. Most 445 importantly, we cannot rule out the possibility that a small minority of infected people shed at 446 sufficient levels for transmission for much longer than has been observed to date. Finally, 447 contagiousness could have different dose response dynamics than viral load dependent 448 infectiousness and may require investigation in the future upon the availability of 449 epidemiologically relevant additional data. 450 In conclusion, fundamental epidemiologic features of SARS-CoV-2 and influenza 451 infections can be directly related to viral shedding patterns in the upper airway as well as the 452 nature of exposure contact networks. We contend that this information should be leveraged for

453 more nuanced public health practice in the next phase of the pandemic.

### 454 Methods

455

456 SARS-CoV-2 within-host model. To simulate SARS-CoV-2 shedding dynamics, we employed our previously-described viral infection model.<sup>24</sup> In this model, susceptible cells (S) after coming 457 into contact with SARS-CoV-2 (V) become infected at rate  $\beta VS$ . The infected cells (I) produce 458 459 new virus at a per-capita rate  $\pi$ . The model also includes the clearance of infected cells in two ways: (1) by an innate response with density dependent rate  $\delta I^k$ ; and (2) an acquired response 460 with rate  $\frac{mE^r}{F^r + \phi^r}$  mediated by SARS-CoV-2-specific effector cells (*E*). The clearance mediated by 461 innate immunity depends on the infected cell density and is controlled by the exponent k. The 462 463 Hill coefficient r parameterizes the nonlinearity of the second response and allows for rapid 464 saturation of the killing. Parameter  $\phi$  defines the effector cell level by which killing of infected 465 cells by E is half maximal.

In the model, SARS-CoV-2-specific effector cells rise after 2 stages from precursors cells ( $M_1$  and  $M_2$ ). The first precursor cell compartment ( $M_1$ ) proliferates in the presence of infection with rate  $\omega I M_1$  and differentiates into the effector cell at a per capita rate q during the next intermediate stage. Finally, effector cells die at rate  $\delta_E$ . The model is expressed as a system of ordinary differential equations:

$$\frac{dS}{dt} = -\beta VS$$
$$\frac{dI}{dt} = \beta VS - \delta I^k I - m \frac{E^r}{E^r + \phi^r} I$$
$$\frac{dV}{dt} = \pi I - \gamma V$$
$$\frac{dM_1}{dt} = \omega IM_1 - qM_1$$
$$\frac{dM_2}{dt} = q(M_1 - M_2)$$
$$\frac{dE}{dt} = qM_2 - \delta_E E$$

471

472 We assumed  $S(0) = 10^7$  cells/mL, I(0) = 1 cells/mL,  $V(0) = \frac{\pi I(0)}{c}$  copies/mL,  $M_1(0) = 1$ , 473  $M_2(0) = 0$  and  $E_0 = 0$ .

When we introduce simulated heterogeneity in cases of SARS-CoV-2 2 (by increasing 474 the standard deviation of the random effects of parameters  $\beta$  by 20,  $\delta$  by 2, k by 2 and  $\pi$  by 5 in 475 the original distribution from<sup>24</sup>), some of the viral shedding curves suggest that viral shedding 476 477 could continue for long period (over 6 weeks). Indeed, while median viral shedding duration has been estimated at 12-20 days, shedding for many months is also observed commonly.<sup>45</sup> We 478 479 assumed that viral loads after day 20 drop to a exposure-level viral load level (i.e., V(0)) as most viral shedding observed after this point is transient and at an extremely low viral load.<sup>46</sup> The 480 481 population distribution of parameters to simulate artificial SARS-CoV-2 viral shedding dynamics is provided in Table S1. 482

483

484 *Influenza within-host model.* To simulate viral shedding dynamics of influenza viral, we employ 485 a model<sup>38</sup> that is a simplified version of the viral dynamics model presented for SARS-CoV-2. 486 This model assumes k = 0 and m = 0 and can be expressed as a system of ordinary differential 487 equations:

$$\frac{dS}{dt} = -\beta VS$$
$$\frac{dI}{dt} = \beta VS - \delta I$$
$$\frac{dV}{dt} = \pi I - \gamma V$$

Following this model,<sup>38</sup> we assumed  $S(0) = 4 \times 10^8$  cells/mL, I(0) = 1 cells/mL,  $V(0) = \frac{\pi I(0)}{c}$ copies/mL. To simulate artificial influenza viral shedding dynamics, we assumed the population distribution of parameters  $Log10(\beta)$ ,  $Log10(\pi)$ ,  $Log10(\gamma)$  and  $Log10(\delta)$  are -4.56 (0.17), -1.98 (0.14), 0.47 (0.03) and 0.60 (0.06), respectively.

492

493 *Dose-response model.* For both viruses, to estimate the infectiousness  $P_t[V(t)]$  (response) based 494 on viral loads V(t) (dose), we employed the function,  $P_t[V(t)] = \frac{V(t)^{\alpha}}{\lambda^{\alpha} + V(t)^{\alpha}}$ . Here,  $\lambda$  is the

infectivity parameter that represents the viral load that corresponds to 50% infectiousness and 50% contagiousness, and  $\alpha$  is the Hill coefficient that controls the sharpness in the dose-response curve.

498

Transmission Model and Reproduction number. Our transmission model assumes that only 499 500 some contacts of an infected individual with viral load dependent infectiousness are physically 501 exposed to the virus (defined as exposure contacts), that only some exposure contacts have virus 502 passaged to their airways (contagiousness) and that only some exposed contacts with virus in 503 their airways become secondarily infected (successful secondary infection). Contagiousness and 504 infectiousness are then treated as viral load dependent multiplicative probabilities with 505 transmission risk for a single exposure contact being the product. Contagiousness is considered 506 to be viral load dependent based on the concept that a transmitter's dispersal cloud of virus is

more likely to prove contagious at higher viral load, which is entirely separate for considerationsof viral infectivity within the airway once a virus contacts the surface of susceptible cells.

We next assume that the total exposed contacts within a time step  $(\eta_{\Delta_t})$  is gamma distributed, i.e.  $\eta_{\Delta_t} \sim \Gamma\left(\frac{\theta}{\rho}, \rho\right) \Delta_t$ , using the average daily contact rates ( $\theta$ ) and the dispersion parameter ( $\rho$ ). To obtain the true number of exposure contacts with airway exposure to virus, we simply multiply the contagiousness of the transmitter with the total exposed contacts within a time step (i.e.,  $\zeta_t = \eta_{\Delta_t} P_t$ ).

514 Transmissions within a time step are simulated stochastically using time-dependent viral load to determine infectiousness  $(P_t)$ . Successful transmission is modelled stochastically by 515 516 drawing a random uniform variable (U(0,1)) and comparing it with infectiousness of the 517 transmitter. In the case of successful transmission, the number of secondary infections within that time step  $(T_{\Delta_t})$  is obtained by the product of the infectiousness  $(P_t)$  and the number of 518 exposure contacts drawn from the gamma distribution  $(\zeta_t)$ . In other words, the number of 519 secondary infections for a time step is  $T_{\Delta t} = Ber(P_t)P_t\eta_{\Delta t}$ . If we disregard contagiousness by 520 assuming  $P_t = 1$  in  $\zeta_t$ , we identify that there are little to no differences on overall results other 521 than the emergent TD curve and optimal parameter set describing dose-response curve and 522 523 exposed contact network, which no longer agrees as closely with in vitro probability of positive virus culture (Fig S5).<sup>37</sup> 524

We obtain the number of secondary infections from a transmitter on a daily basis noting that viral load, and subsequent risk, does not change substantially within a day. We then summed up the number of secondary infections over 30 days since the time of exposure to obtain the individual reproduction number, i.e.  $R_0 = \sum_{\Delta_t} T_{\Delta_t}$ .

529

530 Serial interval and generation time. We further assume that upon successful infection, it takes  $\tau$ days for the virus to move within-host, reach infection site and produce the first infected cell. 531 532 To calculate serial interval (time between the onset of symptoms of transmitter and secondarily 533 infected person), we sample the incubation period for both transmitter and secondarily infected person from a gamma distribution with a shape described in the Fig S4.<sup>3,30</sup> In cases in which 534 535 symptom onset in the newly infected person precedes symptom onset in the transmitter, the serial 536 interval is negative; otherwise, serial interval is non-negative. Similarly, we calculate generation 537 time as the difference between the time of infection of transmitter and the time of infection of 538 secondarily infected person. 539 540 *Fitting procedure.* To estimate the values of unknown parameters in cases of SARS-CoV-2, we 541 performed a grid search comprehensively exploring a total of ~500,000 combinations of 5 parameters taking the following values, 542 543 (i)  $\tau \in [0.5, 1, 2, 3]$  days, 544 (ii)  $\alpha \in [0.01, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0, 2.0, 3.0, 4.0, 5.0, 10.0]$  $\lambda \in [10^{0}, 10^{0.5}, 10^{1.0} \dots, 10^{8}]$ 545 (iii)  $\theta \in [0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1.0, 2.0, 3.0, 4.0, 5.0, 10.0, 20.0, 50.0].$ 546 (iv) 547 (v) 20.0, 30.0, 40.0, 50.0, 75.0, 100, 200, 500]. 548 The parameter sets of  $(\lambda, \tau, \alpha, \theta)$  were simulated for 1000 infected individuals to determine how 549 550 well each set generates the summary statistics of mean R0, mean SI and the R0 histograms by

551 following a procedure explained in **Fig S1** and below:

552	Step A:
553	1. Simulate viral load $V(t)$ of 1,000 simulated infected individuals using Eq. 1
554	2. For each combination of $(\lambda, \tau, \alpha, \theta, \rho)$
555	a. For each time step $\Delta_t$
556	i. Compute $P_t[V(t); \lambda, \alpha]$
557	ii. Draw $\eta_{\Delta_t} \sim \Gamma\left(\frac{\theta}{\rho}, \rho\right) \Delta_t$
558	iii. Calculate $T_{\Delta_t} = Ber(P_t)P_t\eta_{\Delta_t}$
559	b. Calculate $R_0 = \sum_{\Delta_t} T_{\Delta_t}$
560	i. Check if calculated mean $R_0$ is in the range: <sup>3,31</sup>
561	c. Calculate Serial Interval based on $\tau$ and incubation period
562	i. Check if calculated <i>SI</i> is in the range in: $^{3,31,33}$
563	Step B:
564	1. If the parameter combination in Step A satisfy the criteria, then
565	i. Compute RSS for the obtained $R_0$ and histogram from: <sup>4,6,34,36</sup> [Ref]
566	
567	We visually checked whether our dose-response curve matched the observed probability
568	of positive virus culture. <sup>37</sup> We assumed that viral loads derived from positive culture <sup>37</sup> can be
569	considered equivalent to viral loads in the within-host model if divided by a positive integer. We
570	found this positive integer to be 25 (Fig S5).
571	We performed a global sensitivity analysis to identify which parameter variability
572	accounted for fit to different components of the data. Only narrow ranges of $\lambda$ permitted close fit
573	to the mean of R0 and distribution functions of individual R0 (Fig S9), while a specific value for
574	$\alpha$ was necessary to fit to mean serial interval and distribution functions of individual R0 (Fig

- 575 **S9**). Only narrow ranges of  $\theta$  permitted close fit to the mean of R0 and distribution functions of
- 576 individual R0 (**Fig S10**), while a specific value for ρ was necessary to fit to distribution functions
- 577 of individual R0 (**Fig S10**).
- 578 To obtain TD50 ( $\lambda_T$ ) based on ID50 ( $\lambda$ ), we use the relation

$$\frac{1}{\left(\left(\frac{10^{\lambda}}{V}\right)^{\alpha}+1\right)^{2}} = \frac{1}{\left(\frac{10^{\lambda_{T}}}{V}\right)^{\alpha_{T}}+1} = 0.5$$

From solving the second half 
$$\left(\frac{1}{\left(\frac{10^{\lambda T}}{V}\right)^{\alpha_T}+1}=0.5\right)$$
, we get

$$V = 10^{\lambda_T}$$

580 Substituting  $V = 10^{\lambda_T}$  in the first-half, we have

$$\frac{1}{\left(\left(\frac{10^{\lambda}}{10^{\lambda_T}}\right)^{\alpha}+1\right)^2}=0.5$$

581 Or, 
$$\left(\left(\frac{10^{\lambda}}{10^{\lambda_T}}\right)^{\alpha} + 1\right)^2 = 2$$

582 Or, 
$$\left(\frac{10^{\lambda}}{10^{\lambda_T}}\right)^{\alpha} = \sqrt{2} - 1$$

583 Or, 
$$10^{\lambda_T \alpha} = \frac{10^{\lambda \alpha}}{\sqrt{2}-1}$$

584 Or, 
$$\lambda_T = \lambda + \frac{0.38}{\alpha}$$

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586

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Fig 1. SARS-CoV-2 and influenza transmission model schematic. In the above cartoon, the transmitter has 2 exposure events at discrete timepoints resulting in 7 total exposure contacts and 3 secondary infections. Transmission is more likely at the first exposure event due to higher exposure viral load. To model this process, the timing of exposure events and number of exposed contacts is governed by a random draw from a gamma distribution which allows for heterogeneity in number of exposed contacts per day (Fig S3). Viral load is sampled at the precise time of each exposure event. Probability of transmission is identified based on the product of two dose curves (Fig S2C, D) which capture contagiousness (probability of viral passage to an exposure contact's airway) and infectiousness (probability of transmission given viral presence in the airway). Incubation period (Fig S4) of the transmitter and secondarily infected person is an input into each simulation and is depicted graphically. Individual R0 is an output of each simulation and is defined as the number of secondary infections generated by an infected individual. Serial interval is an output of each simulated transmission and is depicted graphically.



Fig 2. SARS-CoV-2 transmission model fit. A. Simulated and actual frequency histograms of individual R0 values, **B**. Simulated and actual cumulative distribution of individual R0 values. C. Simulated and actual frequency histograms of individual serial intervals, **D**. Simulated and actual cumulative distribution of individual serial intervals. E. Frequency distribution of simulated generation times.



Fig 3. SARS-CoV-2 transmission probability as a function of shedding. A. Optimal infectious dose (ID) response curve (infection risk =  $P_i$ ) and transmission dose (TD) response curve (transmission risk =  $P_t * P_t$ ) curves for SARS-CoV-2. Transmission probability is a product of two probabilities, contagiousness and infectiousness (Fig 1). B-D. Three simulated viral shedding curves. Heat maps represent risk of transmission at each shedding timepoint given an exposed contact with an uninfected person at that time.



**Fig 4. Conditional requirements for SARS-CoV-2 superspreading events. A.** Heatmap demonstrating the maximum number of feasible secondary infections per day from a transmitter given an exposure viral load on log10 scale (x-axis) and number of exposed contacts per day (y-axis). The exposed contact network allows a maximum of 150 exposed contacts per day (black dotted line) which is sufficient for multiple transmissions from a single person per day. **B.** 10,000 simulated transmitters followed for 30 days. The white space is a parameter space with no transmissions. Each dot represents the number of secondary transmissions from a transmitter per day. Input variables are log10 SARS-CoV-2 on the start of that day and number of contact exposures per day for the transmitter. There are 1,154,001 total exposure contacts and 15,992 total infections. **C.** 10,000 simulated infections with percent of infections due to exposure viral load binned in intervals of 0.5 intervals on log10 scale (x-axis) and number of exposed contacts (y-axis).



**Fig 5. Influenza transmission model fit. A.** Simulated and actual frequency histograms of individual R0 values, **B.** Simulated and actual cumulative distribution of individual R0 values. **C.** Simulated and actual frequency histograms of individual serial intervals, **D.** Simulated and actual cumulative distribution of individual serial intervals. **E.** Frequency distribution of simulated generation times.



**Fig 6. Influenza transmission probability as a function of shedding. A.** Optimal infectious dose (ID) response curve (infection risk =  $P_t$ ) and transmission dose (TD) response curve (transmission risk =  $P_t * P_t$ ) curves for influenza. Transmission probability is a product of two probabilities, contagiousness and infectiousness (**Fig 1**). **B-D.** Three simulated viral shedding curves. Heat maps represent risk of transmission at each shedding timepoint given an exposed contact with an uninfected person at that time.



**Fig 7. Conditional requirements for influenza super spreading events. A.** Heatmap demonstrating the maximum number of secondary infections per day feasible from a transmitter given an exposure viral load on log10 scale (x-axis) and number of exposed contacts per day (y-axis). The exposed contact network allows a maximum of 15 exposed contacts per day (black dotted line) which is not sufficient for more than 15 transmissions from a single person per day. **B.** 10,000 simulated transmitters followed for 30 days. The white space is a parameter space with no transmissions. Each dot represents the number of secondary transmissions from a transmitter per day. Input variables are log10 influenza TCID on the start of that day and number of contact exposures per day for the transmitter. There are 1,239,984 total exposure contacts and 11,141 total infections. **C.** 10,000 simulated infections with percent of infections due to exposure viral load binned in intervals of 0.5 intervals on log10 scale (x-axis) and number of exposed contacts (y-axis).



Fig 8. Differing transmission contact distributions, rather than viral kinetics explain SARS CoV-2 super spreader events. A. Simulated transmission risk dynamics for 10,000 infected persons with SARS-CoV-2 and influenza. Solid line is median transmission risk. Dark, dotted line is transmission risk of 75<sup>th</sup> percentile viral loads, and light dotted line is transmission risk of 95<sup>th</sup> percentile viral loads. **B.** Same as **A** but plotted as transmission risk since onset of symptoms. Highest transmission risk for SARS-Co-V-2 is pre-symptoms and for influenza is post symptoms. C. Boxplots of duration of time spent above TD10, TD25, TD50, TD75 and TD90 for 10,000 simulated SARS-CoV-2 and influenza shedding episodes. TD10, TD25, TD50, TD75 and TD90 are viral loads at which transmission probability is 10%, 25%, 50%, 75% and 90% respectively. The midlines are median values, boxes are interquartile ranges (IQR), and datapoints are outliers. Superimposed probability distributions of: D & E. number of transmission contacts per day, F. individual R0, G. serial interval and H. generation time for influenza and SARS-CoV-2.

#### A) Calculating Mean $R_{07}$ Mean Serial Interval and histogram of $R_0$



#### B) Finding parameter sets

Repeat Step A for all parameter sets of $(\lambda, \alpha, \tau, \theta \text{ and } \rho)$	Check if mean R <sub>0</sub> and mean Serial Interval are in observed ranges	If yes, then select the parameter set; otherwise reject	Intermediate Output: 20 parameter sets of $(\lambda, \alpha, \tau, \theta \text{ and } \rho)$	A parameter set with smallest	OUTPUT: Optimized Parameter set of (λ, α, τ, θ and ρ)
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Fig S1. Mathematical model workflow.



Fig S2. Mathematical model of SARS-CoV-2 transmission dynamics. A. Simulated viral load shedding tracings of possible transmitters. B. Simulated viral load shedding with imputed heterogeneity. C. Simulated infection dose (ID) response curves with variance in infectivity (ID50) and D. dose response slopes. E. Simulated transmission dose (TD) response curves with variance in infectivity (TD50) and F. dose response slopes. The TD response curve is a product of the infection and contagion dose response curves.



Fig S3. Stochastic simulations of exposed contact frequency for varying dispersion ( $\rho$ ). The average number of exposed contacts is 4 per day in each example with imputed daily heterogeneity based on an elevated value of  $\rho$  from a gamma distribution~ $\Gamma(4/\rho, \rho)$ .



**Fig S4. Gamma distribution functions of incubation periods. A.** SARS-CoV-2 (mean 5.2 days, shape parameter =3.45 and rate =0.66) and **B.** influenza (mean 2 days, shape parameter=6.25 and scale parameter=0.32).



**Fig S5. Mathematical model recapitulation of relationship between SARS-CoV-2 viral load and viral culture.** In a clinical study, quantitative viral culture was ~25-fold lower than viral RNA measurement by PCR (<u>https://www.medrxiv.org/content/10.1101/202</u> <u>0.06.08.20125310v1</u>). We identify high similarity between observed viral RNA level divided by 25 and model predicted infectiousness shown here with the ID curve..



**Fig S6. Impact of changes in contact network heterogeneity on individual R0, serial interval, and generation time. A.** SARS-CoV-2, and **B.** influenza. Lowering exposed contact network heterogeneity to levels observed with influenza decreases SARS-CoV-2 individual R0 over-dispersion. Increasing exposed contact network heterogeneity to levels observed with SARS-CoV-2 increases influenza R0 over-dispersion. Neither change impacts observed serial interval or estimate generation time.









**epidemiology.** Simulations assume limitation of exposed contacts only among daily exposures of more than 5, 10, 20 or 50 people. Mean reproductive number decreases below one with only marginal decreases in overall rate of exposure contacts when contacts are limited to fewer than 20 people.



**Fig S9. Sensitivity analysis of transmission curve parameter for model fit to SARS-CoV-2 data.** Effects of varying transmission curve slope (x-axis) and TD50 for infectiousness (y-axis) on fit to **A.** Mean R0, **B.** Mean serial interval, **C.** Cumulative distribution function of individual R0, and **D.** Sum of Errors in A, B and C.



**Fig S10.** Sensitivity analysis of contact network structure for model fit to SARS-CoV-2 data. Effects of dispersion parameter (x-axis) and average exposed contacts per day (y-axis) on fit to A. Mean R0, B. Mean serial interval, C. Cumulative distribution function of individual R0, and D. Sum of Errors in A, B and C.

		SARS-Co	V-2	Influenza			
Super-spreader definitions	All infected people	All transmitters	Contribution of super-spreaders to all transmissions	All infected people	All transmitters	Contribution of super-spreaders to all transmissions	
Individual R0≥5	~10%	~35%	~85%	~2%	~3%	~10%	
Individual R0≥10	~6%	~25%	~70%	~0%	~0%	~0%	
Individual R0≥20	~2.5%	~10%	~44%	~0%	~0%	~0%	

 Table 1: Prevalence of super-spreaders among transmitters, and contribution of super-spreading events to all SARS-CoV-2 and influenza transmissions. Estimates are from 10,000 simulations.

Log <sub>10</sub> β (virions <sup>-1</sup> day <sup>-1</sup> )	δ (day <sup>-1</sup> cells <sup>-k</sup> )	k (-)	Log <sub>10</sub> π (log <sub>10</sub> day <sup>-1</sup> )	m (day <sup>-1</sup> cells <sup>-1</sup> )	Log <sub>10</sub> ω (day <sup>-1</sup> cells <sup>-1</sup> )
-7.23	3.13	0.08	2.59	3.21	-4.55
0.2	0.02	0.02	0.05	0.33	0.01

Table S1: Population parameter estimates for simulated SARS-CoV-2 viral shedding dynamics. Parameters are from (doi: <u>https://doi.org/10.1101/2020.04.10.20061325</u>).<sup>13</sup> The top row is the fixed effects (mean) and the bottom row is the standard deviation of the random effects. We also fixed r=10,  $\delta E$ =1/day, q=2.4×10-5/day and c=15/day.